

Research Methodology 2

PROBLEM FORMULATION AND OBJECTIVES



2.0 PROBLEM FORMULATION AND OBJECTIVES

- 2.1 What is research problem?
- 2.2 Why need to formulate the research problem?
- 2.3 Step in formulating research problem
- 2.4 How to identify the research problem?
- 2.5 Formulation of Problem Statement
- 2.6 Formulating research question and/or hypothesis
- 2.7 Identify research objectives
- 2.8 Scope of research: Criteria for Masters vs Doctoral Level of research.

2.1 What is research problem?

- A research problem is **a statement** about an area of concern, a condition to be improved, a difficulty to be eliminated, or a **troubling question** that exists in scholarly literature, in theory, or in practice that points to the need for **meaningful understanding and deliberate investigation.**

2.2 Why need to formulate the research problem?

- Formulating your destination before beginning a journey.
- It determines,
 - what you will do,
 - will it withstand scientific scrutiny,
 - how you will do it, and
 - what you may achieve!

2.3 Step in formulating research problem

1. Identify a broad topic
2. Identify a narrow topic within the broad topic
3. Raise questions
4. Formulate objectives
 - **Use action-oriented words - To demonstrate; To evaluate; To measure...**

2.4 How to identify the research problem?

- **Think of the BIG PICTURE**
 - What is the problem you are trying to solve?
 - Think of something you like to learn more about
 - Consult text books, journal or your supervisor
- **Pick one based on:**
 - Interest and relevance
 - Magnitude of work involved
 - Level of expertise
 - yours and your advisors

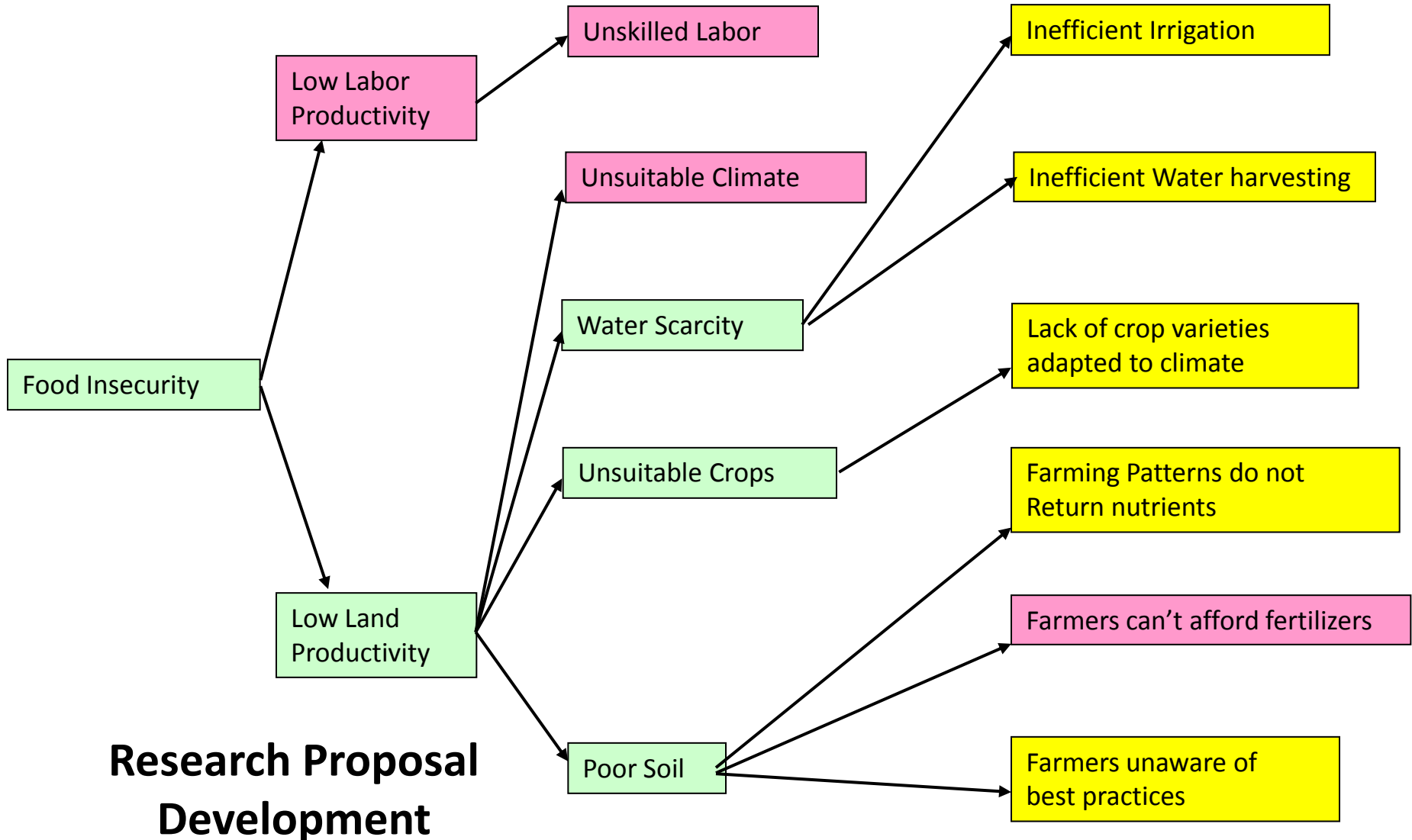
Examples of Broad Topics

- Optimizing productivity of land and water resources
- Ensuring Food Safety and Security
- Sustaining Agricultural & Marine Environments

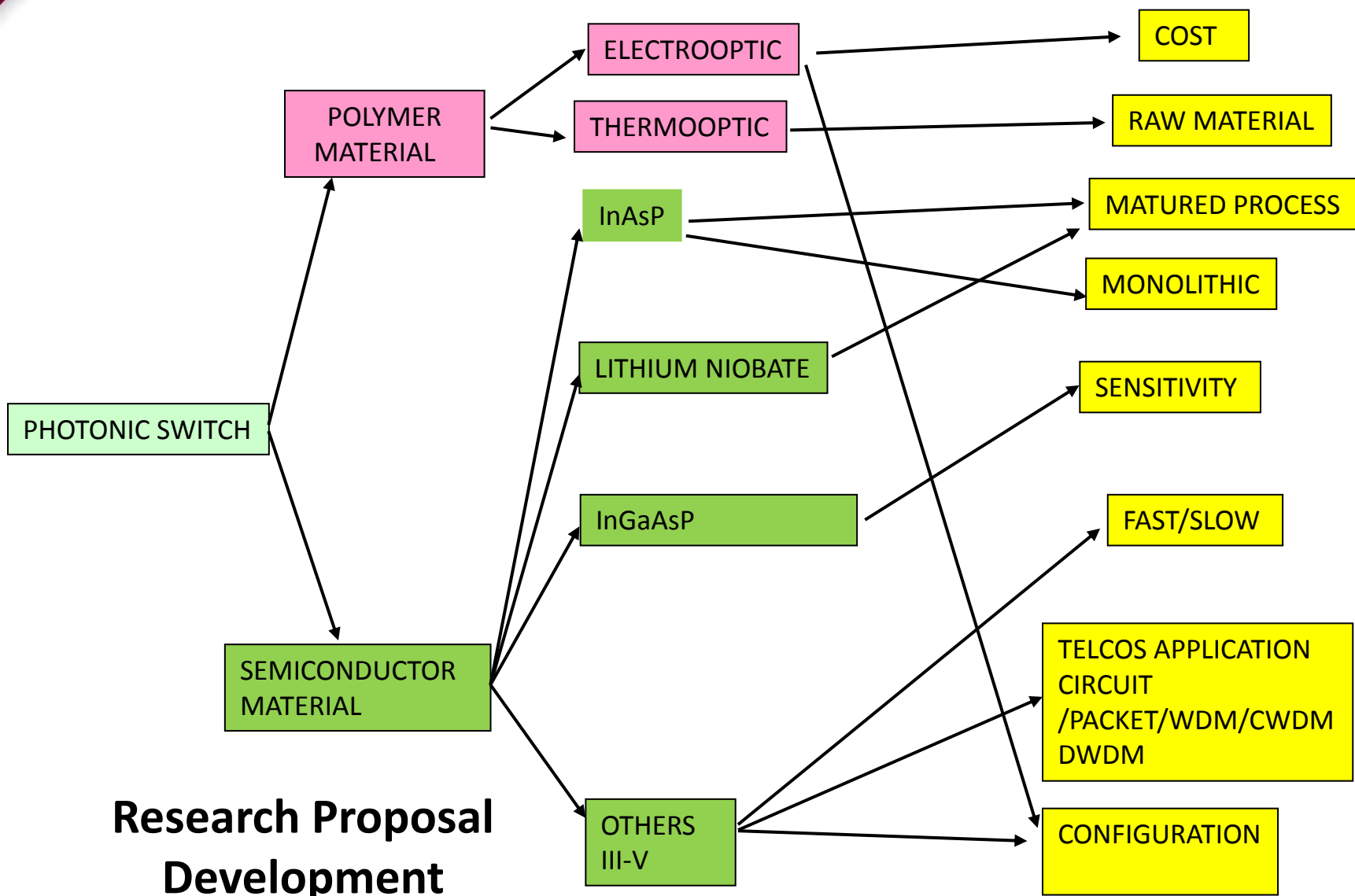
From Broad Topic to Narrow Topic

- **Examples of a narrow topic:**
 - Liver disease in Goat
 - Greenhouse Agriculture
 - Milk Quality
 - Greywater reuse potential in Malaysia
- When selecting a narrow topic think how it can contribute towards solving the **BIG PROBLEM!**

Problem Tree – Keep asking Why?



Problem Tree – Keep asking Why?



**Research Proposal
Development**

2.4.1 What Are The Criteria For Selecting a Research Problem?

- Interest
- Size/Scope
- Economy/Cost
- Researcher's Capabilities and Limitations
- Uniqueness

Criteria For Selecting a Research Problem

(1) Interest

- By being interested, you are more likely to read widely on the topic and have a more thorough knowledge of the situation.
- On the other hand, the issue does not have to be of concern to everyone, but the results should be communicable and of interest to someone.

Criteria For Selecting a Research Problem

(2) Size

- For example, a researcher wanting to investigate the quality of water in a certain area would be faced of determining the sample of water which is representative.
- However, further analysis, reduces the problem into a smaller and manageable research.

Criteria For Selecting a Research Problem

(3) Economy

- Research are often confronted with practical constraints, not the least of which are time and money.
- Even at the initial planning stages, it is wise to think about the possibility of receiving some support, both financial and non-financial, either from within your institution or from outside sources.

Criteria For Selecting a Research Problem

(4) Researcher's Capabilities and Limitations

- A researcher must recognize his own capabilities and limitations
-
- If inexperienced in educational research, then it is highly likely that you will need some guidance.
- It will be an advantage if you have people willing to support you throughout the research-to suggest alternative approaches, assist in clarification of issues, etc.

Criteria For Selecting a Research Problem

(5) Uniqueness

- That is, you would not want to duplicate a study.
- However, you may want to pursue a study similar to one already in existence but change the methods used, or modify the design, or use a different sample, or choose to perform different statistical analyses.

2.4.2 EVALUATING THE PROBLEM

- Having developed a well-constructed research problem it is important to consider :
 - a. whether you think the research problem is **FEASIBLE**, and
 - b. whether you feel the research problem is **WORTHWHILE**.

Is the Problem Feasible ?

- The primary evaluative source is yourself.
- You should ask yourself a number of questions relating to the feasibility of the study – that is, whether it is possible for the problem to be solved.

You are seeking an answer to the question...

Is the Problem Researchable ?

1. Has the problem been specified ?
2. Is the problem amenable to research ?
3. Is the problem too large ?
4. How available are the data ?
5. Am I capable of solving the problem ?

CONSULTATION WITH OTHERS

- It is wise to consult others (who are either experienced researchers, interested colleagues or experts in the field) for their honest opinions.

Is the Problem Worthwhile ?

Is the Problem Worthwhile ?

- Usefulness of the research findings, or on the interest it holds for the readings or even on its contribution to the existing body of knowledge.
- In order to judge whether your research problem is worthwhile, you should ask yourself the question.

Will the Results be Significant ?

Will the Results be Significant?

- In answering this question you are concerned with what are called social factors (or social considerations).
- To evaluate the worthwhileness of your research problem, you would need to ask questions such as :
 1. Will the results advance knowledge ?
 2. Will the research have some value ?
 3. Will the results be of interest to others ?

2.5 Formulating a Problem Statement

- Reflect on the **key issues** and formulate a problem statement that incorporates the most important ideas in the issues.
- **Guidelines**
 - 1 or 2 complete sentences
 - Legible...easy to understand
 - Large enough

Reminders for Formulating a Problem Statement

- Sometimes it is a quick and easy process; other times it is slower and more difficult.
- The conversation to identify a critical problem is important in focusing the improvement work and building team commitment.
- Encourage robust discussion, but do not get bogged down in wordsmithing.

2.6 FUNCTIONS OF A HYPOTHESIS/RESEARCH QUESTION

- Once a problem situation has been located and a problem refined to a researchable form, the researcher's task is to find an answer to the problem.
- If the answer to the question cannot be found from within the body of knowledge already in existence, it is necessary for the researcher to develop a hypothesis.

What is meant by a Hypothesis ?

A hypothesis is an educated guess.

- It is an attempt to explain the nature of the relationship between the variables identified in the problem.
- If you like, a hypothesis is an attempt to suggest a possible answer to the problem based on available facts or information that the researcher already knows.

- Hypothesis are constructed in everyday life, e.g. when items are lost, when an unusual happening occurs or when something does not act in the normal way.
- Hypothesis that direct them to finding the solution to a question, for example:

Where did I leave the scooter key ?

What is that rumbling noise ?

Why didn't the mail arrive ?

- In order to solve the problem, you attempt to link what is known and what is not known and suggest a possible reason or solution....**HYPOTHESING**

Cycles of Research Question Development

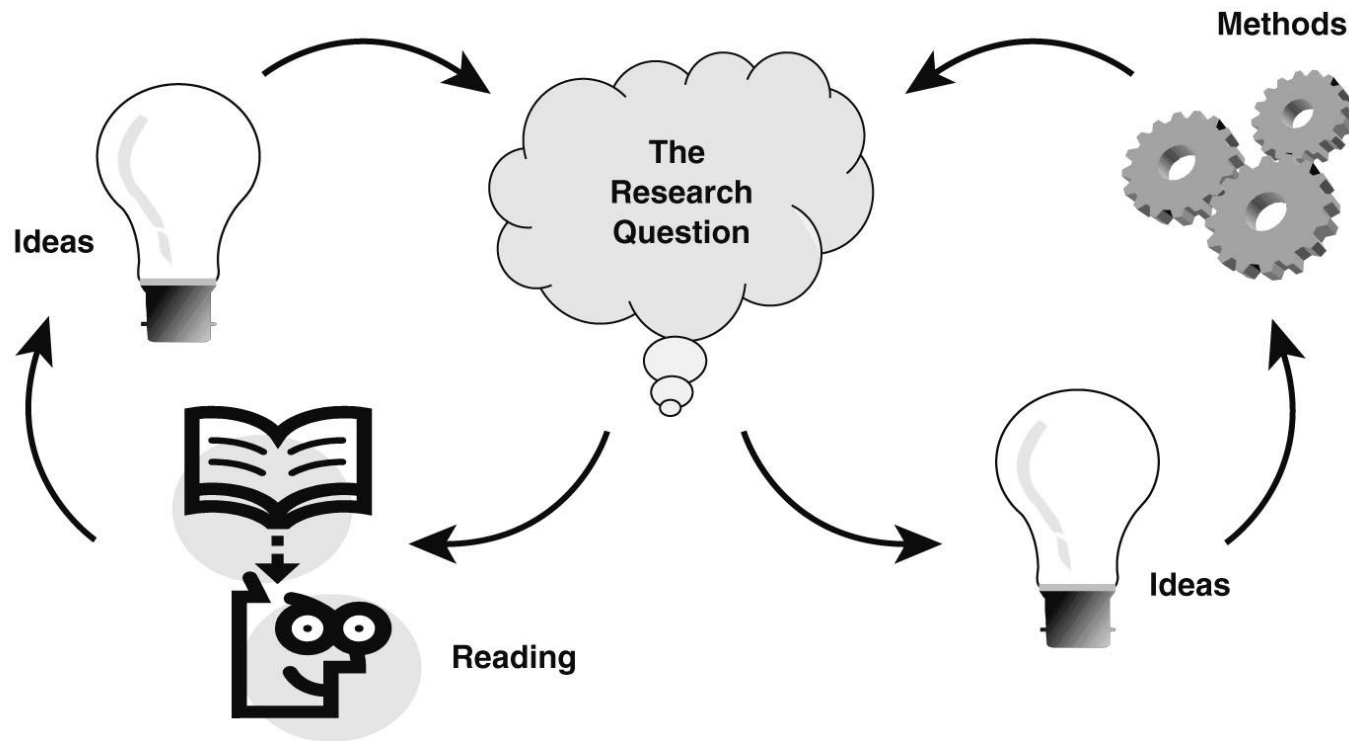


FIGURE 3.4 CYCLES OF RESEARCH QUESTION DEVELOPMENT

Narrowing and Clarifying

- Narrowing, clarifying, and even redefining your questions is essential to the research process.
- Forming the right ‘questions’ should be seen as an iterative process that is informed by reading and doing at all stages.

Good Question Checklist

- ✓ Is the question right for me?
 - Will the question hold my interest?
 - Can I manage any potential biases/subjectivities I may have?

Good Question Checklist

- ✓ Is the question right for the field?
 - Will the findings be considered significant?
 - Will it make a contribution?

O'Leary, Z. (2004) *The Essential Guide to Doing Research*. London: Sage.
Chapter Three

Good Question Checklist

- ✓ Is the question well articulated?
 - Are the terms well-defined?
 - Are there any unchecked assumptions?

O'Leary, Z. (2004) *The Essential Guide to Doing Research*. London: Sage.
Chapter Three

Good Question Checklist

✓ Is the question doable?

- Can information be collected in an attempt to answer the question?
- Do I have the skills and expertise necessary to access this information? If not, can the skills be developed?
- Will I be able to get it all done within my time constraints?
- Are costs likely to exceed my budget?
- Are there any potential ethics problems?

O'Leary, Z. (2004) *The Essential Guide to Doing Research*. London: Sage.
Chapter Three

Good Question Checklist

- ✓ Does the question get the tick of approval from those in the know?
- Does my supervisor think I am on the right track?
- Do ‘experts’ in the field think my question is relevant/important/doable?

O'Leary, Z. (2004) *The Essential Guide to Doing Research*. London: Sage.
Chapter Three

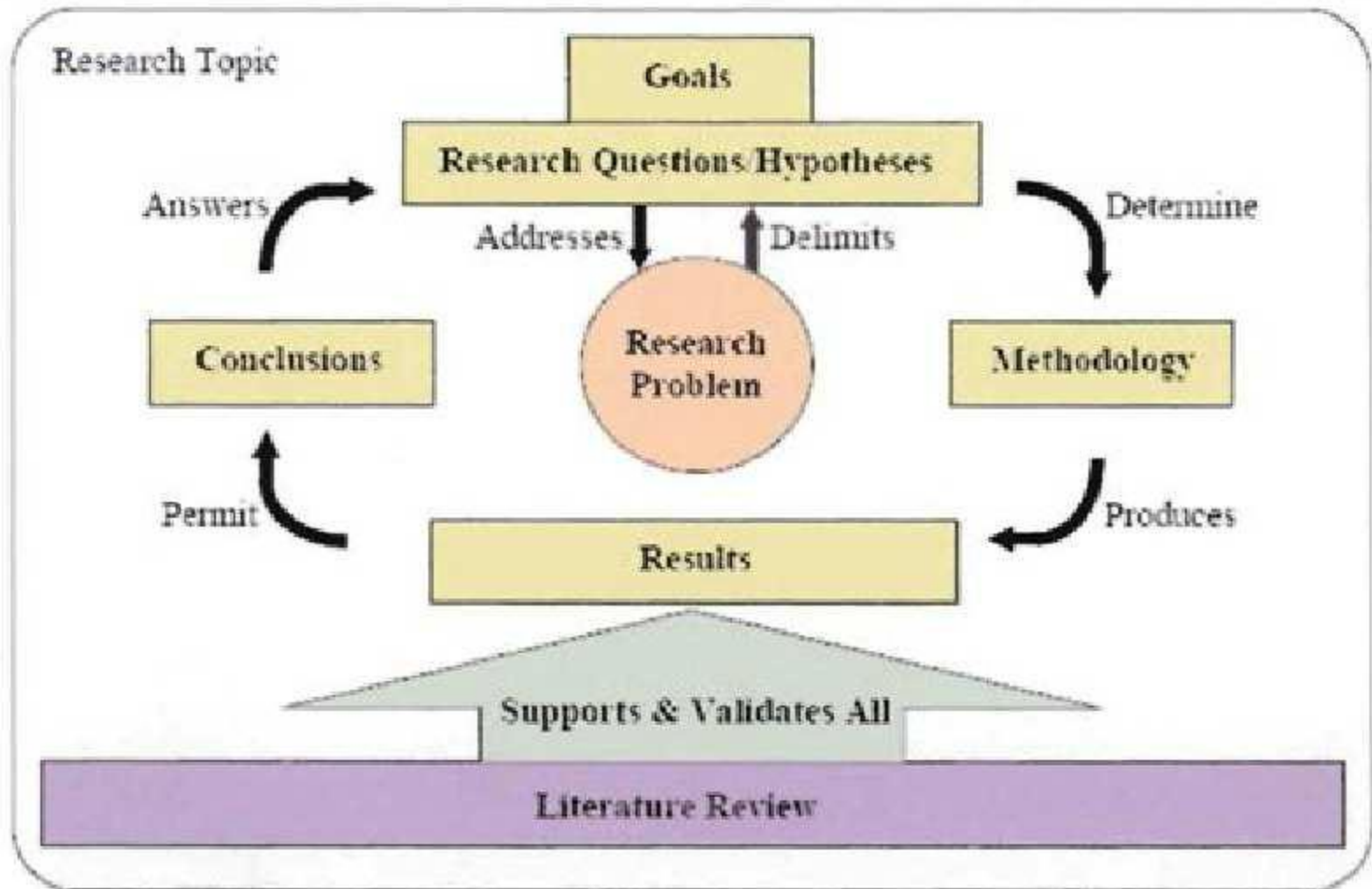


Figure 1: Conceptual Map of the Problem-Based Research Cycle

2.7 How to identify the research objectives?

SMART Objectives

- **Specific:** Be precise about what you are going to achieve
- **Measurable:** Quantify the objectives
- **Appropriate:** Align with the needs of the target audience
- **Realistic:** Do you have the resources to make the objective happen?
- **Time-Specific:** State when you will achieve the objective

SMART Objectives

Specific: Be precise about what you are going to achieve

- Specify target
- Specify intended outcome
- One outcome per objective
- Avoid vague verbs (e.g. know, understand)
- Make sure the objective is linked to the goal
- *Sample: By January 2010, at least 3% of the engineering majors at the institution will be female*

SMART Objectives

Measurable: Quantify the objectives

- Use measures as indicators of program success
- If possible, establish a baseline (*e.g. In January 2009, 2% of the engineering majors at the institution were female*)
- *Sample: By January 2010, at least **3%** of the engineering majors at the institution will be female*

SMART Objectives

Appropriate: Align with the needs of the target audience

- Meeting the objective will advance the goal
- Identify a specific target audience
- Are inclusive of diversity within your group
- *Sample: By January 2010, at least 3% of the engineering majors at the institution will be **female***
- **Note: The “A” is sometimes called “Attainable” or “Achievable” in the literature.**

SMART Objectives

Realistic : Do you have the resources to make the objective happen?

- Are important to stakeholders
- Are adequately resourced
- Can be achieved
- *Sample: By January 2010, at least 3% of the engineering majors at the institution will be female*

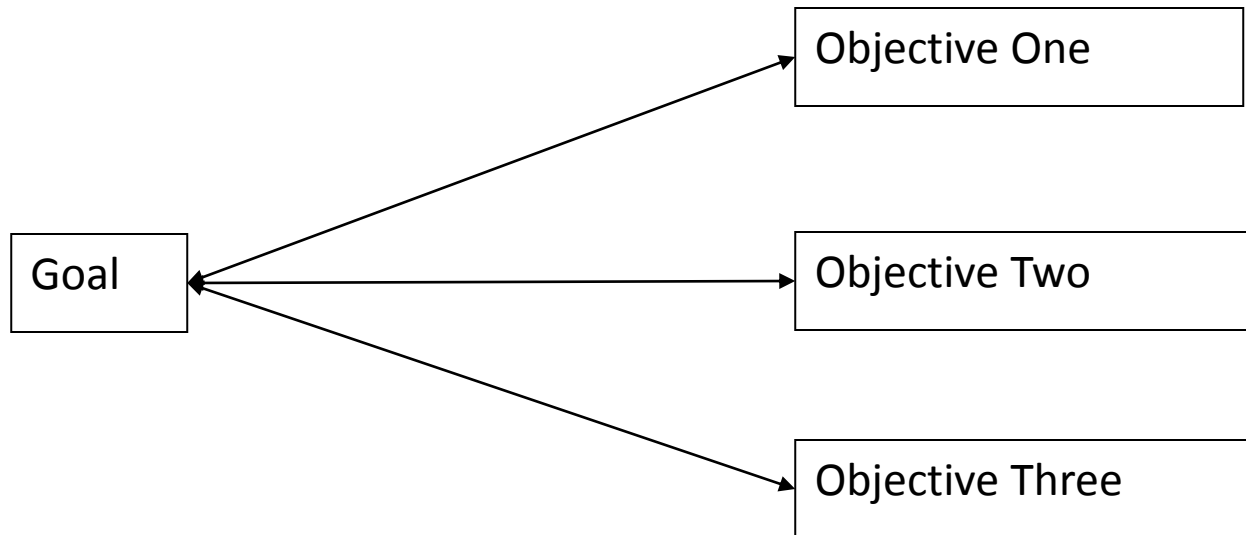
Take care on what you say you can do! The January 2009 baseline was 2%. Is a 1% increase in one year realistic?

SMART Objectives

Time-Specific: State when you will achieve the objective

- Provide timeframe indicating when objective will be met
- *Sample: By **January 2010**, at least 3% of the engineering majors at the institution will be female*

Goals and Objectives



*Maintain a clear connection between your goals and objectives. By maintaining this connection, you are articulating your **theory** of goal attainment.*

2.8 Scope of research: Criteria for Masters vs Doctoral Level of research.

Our Focus:

ENGINEERING

Engineering Disciplines:

- Electrical and Electronics
- Civil
- Chemical
- Mechanical

Activities in Engineering Research [1]

- Involve in the development of new algorithms/techniques/methodologies.
- Involve in the confirmation of newly proposed algorithms (applications to benchmark problems or laboratory equipment).
- Involve in the design of new products/circuits.
- Involve in comparing a number of different methodologies.
- Stability analysis on newly proposed algorithms.

Activities in Engineering Research [2]

- Involve in the application of some proposed algorithms in novel applications.
- Involve in the study of certain aspects of dynamics (behavior) of plants/systems.
- Involve in surveys of some engineering aspects.
- Involve in market study of certain engineering products.
- Involve in the study on the effects of environmental factors on a particular product/design.

Activities in Engineering Research [3]

- Involve in improving the design of existing products.
- Involve in extending the algorithms developed by others to a wider variety of applications/systems.
- Involve in the testing of new techniques extensively on benchmark problems in which earlier research has not done.

Differences between Research Activities in the Engineering Discipline and Others? [1]

- Engineering research are more formulative in nature.
- A lot is based on mathematics.
- Experiments are conducted on machines, rather than humans or animals.
- Data to be collected differ significantly.
- Hypotheses arrived at are largely based on mathematical proofs, rather than just an educated guess.

Differences between Research Activities in the Engineering Discipline and Others? [2]

- Experiments can be done within a shorter period of time.
- Outputs in engineering research are more tangible such as a software, a new machine or component, or even mathematical equations, etc.
- Engineering research do not differ much in different regions of the world.

Differences in Postgraduate and Undergraduate Research

Postgraduate Research

- Time (Longer)
- More algorithmic /mathematical
- Applications should be novel
- More detailed analysis

Undergraduate Research

- Time (Shorter)
- Emphasis is not on developing of new algorithms
- Applications not necessarily novel
- Analysis need not necessarily be substantial

Research Program at the University (Time frame)

- PhD: 3-4 years
- Masters by Research: 1.5-2 years
- Masters by Instruction (Course): 3-6 months
- Bachelors: 3-4 months

Research Program at the University (Differences in levels)

- **PhD:** More algorithmic, development of new techniques, extension of existing new techniques, and/or novel applications.
- **Masters by Research:** Mainly novel applications, applications of relatively new techniques or algorithms, comparisons of techniques.
- **Masters by Instruction (Course):** Case studies, mostly similar to Bachelor projects with more analysis.
- **Bachelors:** Application of existing techniques, case studies, software or circuit design to implement existing techniques.

Summary of Module

In this module, we have studied the following:

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THANK YOU

